

## Research Article

# Analysis of the effects of drugs and techniques used in anesthesia on tumour recurrence, metastasis and survival in ovarian serous adenocarcinoma

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### ABSTRACT

**Background:** Numerous factors affect the risk of recurrence and metastasis after cancer surgery. Studies have observed that anaesthetic techniques have effects on tumour recurrence.

**Methods:** Medical records of newly diagnosed ovarian serous adenocarcinoma patients who underwent radical hysterectomy with bilateral salpingo-oophorectomy from 1995-2008 were analysed for the effect of anaesthetic techniques and drugs on tumour recurrence & metastasis free survival rate and mortality rate. Univariate association between overall survival and anaesthesia technique was assessed using Kaplan-Meier survival estimates and Cox regression. Multivariate association was tested after adjusting potential confounding factors.

**Results:** The overall survival rate (RR at 95% CI=3.16(1.79-5.60)) was significantly better in patients who received regional anaesthesia for surgery than those who had general anaesthesia. Other factors significantly associated with overall survival rate in univariable analysis were, perioperative blood transfusion, preoperative Ca 125 level, FIGO stage, tumour size and lymphatic metastasis. Kaplan Meier survival curve showed that regional anaesthesia group had higher overall survival rate. Recurrence rate did not show significant difference in univariable (Odds 95% CI 1.42 P = 0.273) and multivariable (Odds 95% CI = 0 P = 0.846) analysis. All the 18 patients who had metastasis underwent surgery under GA.

**Conclusions:** This study showed marked increase in overall survival rate in patients who underwent surgery under regional anaesthesia when compared to those who had surgery under general anaesthesia. Prospective randomized control trials are needed for better evaluation.

**Keywords:** Anaesthetic technique, Survival rate, Ovarian serous adenocarcinoma

### INTRODUCTION

Surgical removal of the primary tumour is the best treatment option for solid tumours. Studies have shown that cancer related deaths occur mostly due to metastasis.<sup>1</sup> Perioperative period is very critical as a number of factors during this period promote development of metastasis.<sup>2</sup> Compromised immune defense and tumour seeding leads to increased

susceptibility to tumour metastasis during perioperative period.<sup>3,4</sup> In this context there is increasing interest on the effect of anesthesia and anesthesia techniques in tumour progression suggesting an increased role for the anesthetist in improving long term outcome after cancer surgery.

There is no strong evidence on the effect of anesthetic technique and drugs on cancer cells although many retrospective studies have shown the beneficial effect of regional anesthesia in reducing cancer recurrence and metastasis after surgery. Chemical mediators released due to surgical stress and general anesthesia results in neuroendocrine, immunological and inflammatory responses. Suppression of immune system through the released chemical mediators may result in tumour progression from preexisting micro metastasis as well as those disseminated during surgical manipulation of tumour. Surgical trauma may contribute to increased lymph flow resulting in accelerated spread of cancer cells during surgery.<sup>5</sup> Up regulation of major promalignant pathways by these mediators results in disruption of normal tumour homeostasis promoting local and distant metastasis. Regional anesthesia prevents inhibition of immune system by attenuating surgical stress response. Reduction in systemic opioid requirement for analgesia and decreased regional lymphatic flow during surgery could explain reduction in tumour progression in cancer surgeries done under neuraxialanaesthesia.<sup>2</sup>

### **Scope and significance of the study:**

Ovarian serous adenocarcinoma is the most common ovarian epithelial tumour. Surgery is the primary and the most effective treatment of excisable ovarian tumours, but minimal residual disease is probably unavoidable. Numerous factors affect the risk of recurrence and metastasis from residual disease. Some studies have observed that different anesthetic techniques have effects on tumour recurrence.<sup>6</sup> There is considerable decrease in cytokines for cell mediated immunity such as Interleukin (IL) 2, IL 12 and Interferon (IFN) $\gamma$  during major surgeries. The number of circulating NK cells, cytotoxic T lymphocytes and ratio of T helper 1 (Th 1) to T helper 2 (Th 2) cells are also reduced significantly. Attenuation of immunosuppression by regional anesthesia can reduce the risk of tumour progression and metastasis. Epidural supplemented GA decreases both surgical stress response and the adverse effects of surgery on cell mediated immunity. There is also less overall use of opioids and GA drugs.

The anesthetic technique and drugs used depends on presence of absolute or relative contraindications to the technique and drugs, and preference of the anesthetist, surgeon or patient. In Regional Cancer Centre, Trivandrum any of the following techniques are used for laprotomies:

1. General anesthesia (GA)
2. Central neuraxialanaesthesia
3. Epidural supplemented GA

## **METHODS**

After approval by Institutional review board of Regional Cancer Centre, Trivandrum, Kerala, India this retrospective descriptive study was conducted with the

hypothesis that central neuraxial blockade would decrease the risk of tumour recurrence and produce better long term outcome in terms of metastasis free survival and mortality in ovarian serous adenocarcinoma patients undergoing primary surgery.

Medical records of all newly diagnosed ovarian serous adenocarcinoma patients who underwent radical hysterectomy with bilateral salpingoophorectomy from 1995-2008 were analyzed for the following:

1. Anaesthetic techniques and drugs used  
The anaesthetic technique and drugs depend on the preference of the anaesthetist, patient or surgeon as well as the presence of absolute or relative contraindications or risks. Those who received general anaesthesia were grouped as G while those who received regional anaesthesia (sub arachnoid block or epidural anaesthesia and analgesia) were grouped as R. Exclusion criteria included loss of follow up and lost or inadequate documentation of medical records.
2. To recognize the outcomes under the study, the outcomes measured were the following:
  - a) Disease free survival rate without recurrence and metastasis
  - b) Mortality rate-It gives an idea about overall survival.

Primary endpoint of the study was recurrence and metastasis free survival rate .Secondary end point was overall survival rate.

The comparison of potential confounders was done using X<sup>2</sup> test for categorical variables and Mann-Whitney U test for continuous variables. Univariate association between overall survival and anesthesia technique was assessed using Kaplan-Meier survival estimates and that between overall survival and potential baseline confounders was done using Cox regression. Multivariate association between overall survival and anesthetic technique to obtain relative risk was done after adjusting potential confounding factors independently related with the outcome.

The significance level was 0.05. Those who received epidural supplemented GA were included under regional anesthesia group for analysis of survival rate, recurrence rate and metastasis rate as their number was comparatively very low (9). SPSS software version 11 was used for all the analyses.

## **RESULTS**

The medical records of 204 patients with newly diagnosed ovarian serous adenocarcinoma who underwent radical hysterectomy with bilateral salpingoophorectomy were reviewed. 21 patients were excluded due to inadequate documentation. 93 patients underwent surgery under general anesthesia and 81 under regional anaesthesia.9 patients underwent surgery under

combined general and regional anesthesia. So a total of 183 patients were included in the study. The evaluation cut off time was 31 December 2013. Median follow up time was 138 months with a range of 60 months (5 yrs) to 216 months (18 yrs). Those who received epidural supplemented GA were included under regional anesthesia group for some analyses and were excluded in some analyses for getting exclusive results due to GA or regional anesthesia.

Table 1 shows comparison of groups on the basis of patient characteristics. Characteristics of the study groups were analyzed based on age of the patient, requirement of perioperative blood transfusion, duration of surgery, status of preoperative CA 125 antigen, tumour size, FIGO stage, histological grade, status of lymphatic metastasis, residual macroscopic tumour and use of perioperative chemotherapy. All these are predictors of disease free survival and overall survival. So these are potential confounders affecting outcome. Group R had more patients with better histological grade (p 0.00), smaller tumour size (p 0.00), more residual tumour (p 0.022) and with increased incidence of perioperative chemotherapy (p 0.003). All the patients above 60 years received GA. All these were statistically significant. Preop CA 125 level was raised in 82.7% of group R and 43% in group G. Presence of pelvic and extra pelvic lymphatic metastasis did not show significant difference in the two groups. Group R had slightly shorter duration of surgeries (p 0.189), better FIGO stage (p 0.609) & less intraoperative blood transfusion (P=0.532). (Table 1)

Table 2 shows Cox's regression results of univariable association with survival rate. In this no adjustment is made for potential confounders. Group G had a significantly higher estimated risk of death in comparison with group R (RR at 95% CI=3.16(1.79-5.61) (P 0.000). There was no significant difference in survival in epidural supplemented GA group. Other factors significantly associated with reduced overall survival rate in univariable analysis were use of perioperative blood transfusion, raised preoperative Ca 125 level, higher FIGO stage, larger tumour size and presence of lymphatic metastasis.

Kaplan Meier survival curve showed that group R had higher overall survival rate. (Figure 1)

Survival rate at targeted landmark follow up time of 1,3 & 5 years showed that group R had higher overall survival rate. 1 year survival rate for R is 100% while that of G is 92.5%. Survival rate at 3 yrs for R and G were 93.8% & 82.8% respectively. At 5 yrs it was 87.7% & 69.9%. After 5 yrs survival rate of G decreased more than that of R. (Table 3, Figure 2)

After adjusting the potential confounding factors like perioperative blood transfusion, duration of surgery, preoperative CA125 level, FIGO stage, tumour size and lymphatic metastasis multivariate association with

survival rate using Cox regression model showed that group G had an increased mortality rate compared to group R (95% CI RR 6.282 (3.023-13.053) P 0.000) (Table 4)

Univariable association with recurrence did not show significant difference between the two groups (Odds 95% CI 1.42 (0.76-2.65) P=0.273). Other factors significantly associated with recurrence were increased duration of surgery (P=0.000), raised preop CA 125 level (P=0.000), higher FIGO stage, higher histological grade, larger tumour size and presence of lymphatic metastasis. (Table 5) Multivariate association with recurrence taking into account the potential confounding factors did not show significant difference between group R and G (P=0.846) (Table 6). Distant metastasis was found in 18 patients. All those patients underwent surgery under general anaesthesia. 33.3% group R had recurrence. In group G 48.6% had recurrence and 32.7% had metastasis. There was no metastasis in group R. Thus recurrence free status was 66.7% in group R and 51.4% in group G. The metastasis free status was 100% in group R and 67.3% in group G.

The common drugs used for GA were thiopentone sodium (TPS), propofol, vecuronium and pancuronium. Maximum recurrence and metastasis rates were seen with TPS and pancuronium (71.2% & 48.6%) and least with propofol and vecuronium (0%). 25 patients did not receive perioperative chemotherapy and all of them had recurrence or metastasis (100%). 10 patients had no residual tumour and they did not have recurrence or metastasis. In 81 patients with residual tumour 71 had recurrence (46.7%) and 18 had metastasis (18.2%). 68.4% of patients with pelvic and extra pelvic metastasis had recurrence and 30.5% without lymphatic metastasis had recurrence. None of the patients without lymphatic metastasis had metastasis where as 50% with pelvic or extra pelvic metastasis had metastasis.

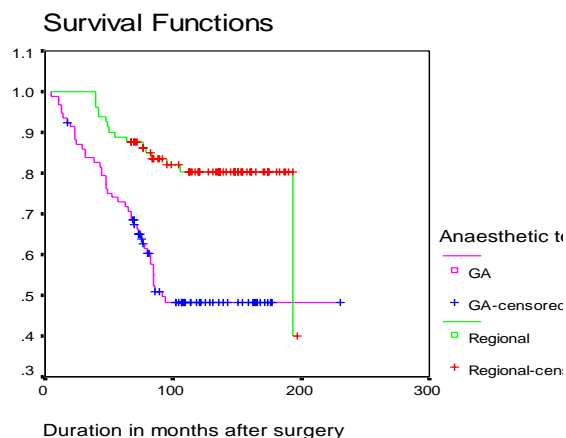


Figure 1: Kaplan curve

**Table 1: Patient characteristics and operative characteristics for patients receiving regional anesthesia or GA.**

		Regional	GA	
Age	<40	10 (12.3)	39 (41.9)	0.002#
	40 - 60	71 (87.7)	46 (49.5)	
	>60	0 (0)	8 (8.6)	
Blood transfusion	No Transfusion	73 (90.1)	81 (87.1)	0.532*
	Transfusion	8 (9.9)	12 (12.9)	
Duration of surgery	<3 hours	48 (59.3)	64 (68.8)	0.189*
	>3 hours	33 (40.7)	29 (31.2)	
Pre OP ca 125	Not Raised	14 (17.3)	53 (57)	0.000*
	Raised	67 (82.7)	40 (43)	
Periop chemo	No	7 (8.6)	18 (19.4)	0.003*
	Carboplatin	0 (0)	3 (3.2)	
	Carboplatin, Paclitaxel	32 (39.5)	26 (28)	
	Carboplatin, Endoxan	10 (12.3)	5 (5.4)	
	Paclitaxel	23 (28.4)	16 (17.2)	
	Carboplatin, Paclitaxel, 5FU	0 (0)	5 (5.4)	
	Taxol, Carboplatin	9 (11.1)	20 (21.5)	
FIGO stage	1a	29 (35.8)	32 (34.4)	0.609#
	1b	12 (14.8)	8 (8.6)	
	1c	9 (11.1)	15 (16.1)	
	2c	1 (1.2)	0 (0)	
	3a	12 (14.8)	12 (12.9)	
	3b	9 (11.1)	8 (8.6)	
	3c	9 (11.1)	18 (19.4)	
Histological grade	Grade 1	13 (16)	53 (57)	0.000#
	Grade 3	68 (84)	40 (43)	
Tumour size	Small	13 (16)	50 (53.8)	0.000#
	Medium	68 (84)	40 (43)	
	Large	0 (0)	3 (3.2)	
Residual tumour	No	10 (12.3)	3 (3.2)	0.022*
	Yes	71 (87.7)	90 (96.8)	
Lymphatic mets	No	11 (13.6)	9 (9.7)	0.689*
	Pelvic	25 (30.9)	28 (30.1)	
	Pelvic, Extra Pelvic	45 (55.6)	56 (60.2)	

**Table 2: Univariable association with survival rate: Cox's regression results.**

		N	RR (95% CI)	P value
Anesthetic technique	Regional	81	1	0.000
	GA	93	3.16 (1.79 - 5.61)	0.975
	Combined	9	0 (0 - 0)	
Age	<40	58	1	0.861
	40 - 60	117	1707 (0 - 0)	0.874
	>60	8	7108 (0 - 0)	
Blood transfusion	No Transfusion	163	1	0.000
	Transfusion	20	3.31 (1.75 - 6.29)	
Duration of surgery	<3 hours	112	1.36 (0.80 - 2.31)	0.255
	>3 hours	71	1	
Pre OP ca 125	Not Raised	75	1	0.028

	Raised	108	1.90 (1.07 - 3.36)	
Periop chemo	No	25	1.17 (0.63 - 2.16)	0.623
	Carboplatin	3	0 (0 - 0)	0.987
	Carboplatin, Paclitaxel	58	0.21 (0.10 - 0.44)	0.000
	Carboplatin, Endoxan	15	0 (0 - 0)	0.973
	Paclitaxel	39	0.02 (0.00 - 0.17)	0.000
	Carboplatin, Paclitaxel	14	0.45 (0.17 - 1.20)	0.110
	Taxol, Carboplatin	29	1	
FIGO stage	1a	61	1	
	1b	20	2.86 (0.99 - 8.29)	0.051
	1c	24	3.88 (1.50 - 10.07)	0.005
	2a	9	0 (0 - 0)	0.977
	2c	1	0 (0 - 0)	0.992
	3a	24	5.84 (2.40 - 14.17)	0.000
	3b	17	3.19 (1.15 - 8.84)	0.025
	3c	27	6.01 (2.60 - 13.92)	0.000
Histological grade	Grade 1	66	1	
	Grade 2	9	0 (0 - 0)	0.973
	Grade 3	108	1.64 (0.92 - 2.91)	0.089
Tumour size	Small	72	0.42 (0.23 - 0.79)	0.000
	Medium	108	1	0.007
	Large	3	30.55 (8.01 - 116.51)	
Residual tumour	No	13	1.29 (0.41 - 4.13)	0.663
	Yes	170	1	
Lymphatic mets	No	121	1	
	Pelvic, Extra Pelvic	62	1.82 (1.10 - 3.01)	0.021

Table 3: Comparison of survival rate at different time interval.

Period (in year)	Regional				GA			
	Survival	No of events	No. Left	Survival rate	Survival	No of events	No. Left	Survival rate
Baseline	81	0	Baseline	81	0	Baseline	81	0
1	81	0	1	81	0	1	81	0
2	81	0	2	81	0	2	81	0
3	81	5	3	81	5	3	81	5
4	76	3	4	76	3	4	76	3
5	73	2	5	73	2	5	73	2
6	71	1	6	71	1	6	71	1
7	70	2	7	70	2	7	70	2
8	68	1	8	68	1	8	68	1
9	67	1	9	67	1	9	67	1
10	66	0	10	66	0	10	66	0
11	66	0	11	66	0	11	66	0
12	66	0	12	66	0	12	66	0
13	66	0	13	66	0	13	66	0
14	66	0	14	66	0	14	66	0
15	66	0	15	66	0	15	66	0
16	66	1	16	66	1	16	66	1
17	65	0	17	65	0	17	65	0

**Table 4: Multivariable association with survival rate: Cox's regression model. CI, confidence.**

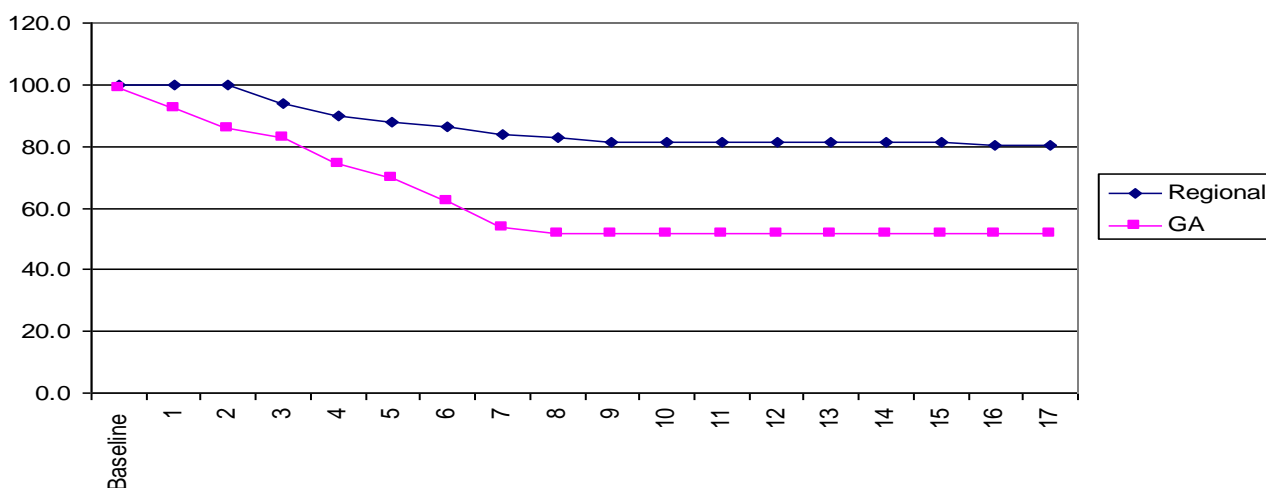
		RR (95% CI)	P value
Anesthetic technique	Regional	1	0.000
	GA	6.282 (3.023 - 13.053 )	
Blood transfusion	No Transfusion	1	0.000
	Transfusion	151.731 (38.846 - 592.653 )	
Duration of surgery	<3 hours	1	0.002
	>3 hours	2.95 (1.507 - 5.775 )	
Pre OP ca 125	Not Raised	1	0.744
	Raised	2.2 (0.019 - 249.143 )	
FIGO stage	1a	1	0.598
	1b	1.325 (0.465 - 3.77 )	
	1c	1.57 (0.592 - 4.163 )	
	2a &b	2.566 (0.024 - 277.651 )	
	3a	1.953 (0.752 - 5.072 )	
	3b	3.299 (0.999 - 10.894 )	
	3c	4.471 (1.146 - 17.445 )	
Tumour size	Small	0 (0 - 0 )	0.796
	Medium	1	
	Large	0.188 (0.001 - 23.812 )	
Lymphatic mets	No	1	0.885
	Pelvic	1.107 (0.28 - 4.373 )	
	Pelvic, Extra Pelvic	8.977 (2.732 - 29.499 )	

**Table 5: Univariable association with recurrence.**

		N	Odds (95% CI)	P value
Anesthetic technique	Regional/ Combined	90	1	0.273
	GA	72	1.42 (0.76 – 2.65)	
Age	<40	46	2.33 (1.16 – 4.67)	0.018
	40 - 60	116	1	
Duration of surgery	<3 hours	99	1	0.000
	>3 hours	63	3.40 (1.76 – 6.59)	
Pre OP ca 125	Not Raised	59	1	0.000
	Raised	103	10.03 (4.31 – 23.33)	
FIGO stage	1a	53	1	0.843
	1b	18	0.88 (0.25 – 3.15)	
	1c	19	1.1 (0.33 – 3.64)	
	2	10	27.56 (3.20 – 237.80)	
	3a	18	3.85 (1.25 – 11.80)	
	3b	17	7.39 (2.19 – 24.93)	
	3c	27	6.15 (2.23 – 16.99)	
Histological grade	Grade 1	50	1	0.000
	Grade 3	103	8.40 (3.73 – 18.92)	
Tumour size	Small	59	1	0.000
	Medium	103	8.40 (3.73 – 18.92)	
Lymphatic mets	No	105	1	0.000
	Pelvic, Extra Pelvic	57	4.94 (2.46 – 9.92)	

**Table 6: Multi variable association with recurrence.**

		Beta	SE	Sig.	Odds (95% CI)
Anesthetic technique (Regional/ Combined ®)	GA	41.8	215.8	0.846	0 (0 - 0)
Duration of surgery (<3 hours ®)	>3 hours	17.1	101.2	0.866	26496407.98 (0 - 0)
Pre OP ca 125 (Not Raised ®)	Raised	11.9	828.4	0.989	0 (0 - 0)
FIGO stage (1a ®)	1b	13.2	101.2	0.896	563662.77 (0 - 0)
	1c	12.8	101.2	0.900	352575.74 (0 - 0)
	2	53.4	251.0	0.832	0 (0 - 0)
	3a	13.8	101.2	0.891	1023628.84 (0 - 0)
	3b	10.0	356.8	0.978	0 (0 - 0)
	3c	-15.9	138.5	0.908	0 (0 - 0)
Tumour size (Small ®)	Medium	43.3	865.6	0.960	0 (0 - 0)
Lymphatic mets(No ®)	(Pelvic, Extra Pelvic)	3.1	5.1	0.542	22.4 (0 - 492480.23)



**Figure 2: Comparison of survival rate at different time interval.**

**DISCUSSION**

Increased incidence ovarian serous adenocarcinoma as well as the increased incidence of recurrence and metastasis due to unavoidable residual disease after surgery together with the wide choice of anesthetic techniques and drugs for surgery made us to select this tumour to assess the effect of various factors on recurrence, metastasis and survival.

Recurrence rate, metastasis rate and overall survival rate of 183 patients with ovarian serous adenocarcinoma who underwent surgery under general anesthesia (group G) or regional anesthesia (group R) were evaluated in this study. After adjusting the potential baseline confounders group G had a significantly higher estimated risk of death in comparison with group R. The overall survival rate at 3 & 5 years were 82.8% & 69.9% for group G and 93.8 %

87.7% for group R. After 5 yrs survival rate of G decreased more than that of G. Recurrence free status was 66.7% in group R and 51.4% in group G. No significant difference was found in the recurrence rate between group R and G. Distant metastasis was found in 18 patients. All those patients underwent surgery under general anaesthesia among the GA drugs used TPS and pancuronium was found to be associated with increased risk of recurrence and metastasis.

The results of our study gives the inference that the disease free survival rate and overall survival rate were better in patients who received regional anesthesia for surgery than those who had general anesthesia.

Literature review shows that the effect of anesthetics on adrenergic system can affect the potential for tumour metastasis and recurrence by suppression of NK cell activity.<sup>3</sup> IV anesthetics except propofol and volatile

anesthetics are known to augment surgically induced immunosuppression.<sup>7-10</sup> Ketamine by its adrenergic stimulatory properties promote tumour metastasis. Propofol has no effect on metastasis due to its weak beta adrenergic antagonist properties. The antitumoural protective effect of propofol has been suggested due to its inhibitory effect on cyclooxygenase 2 and PGE2 in cancer cells as well as stimulation of immunity response.<sup>7-10</sup> Opioids by inhibiting cellular and humeral immunity and due to their proangiogenic effect by activation of Mu opioid receptors found in vascular endothelial cells may promote metastasis.<sup>11, 12</sup> But the pain relief induced by them can attenuate the increased susceptibility for metastasis induced by surgery. Volatile anesthetics up regulate the expression of hypoxic inducible factor 1  $\alpha$  (HIF 1  $\alpha$ ) which is over expressed in a variety of carcinomas and their metastasis.

Neuraxial anesthesia for cancer surgery can improve long term outcome by attenuating surgical stress response thereby preventing neuroendocrine response which reduces NK cell activity. The balance of Th1/Th2 are better preserved.<sup>13,14</sup> This attenuates suppression of tumouricidal function of hepatic mononuclear cells. Th1/Th2 imbalance induces CD4 (cluster of differentiation 4)/CD8 imbalance, and serves as a marker of the biological interplay in immune regulation. Regional anesthesia decreases the use of systemic opioids.<sup>15</sup> Even when the opioids are used intrathecally they are used only in small quantities which do not produce immunosuppression. Epidural anesthesia impairs cancer cell proliferation. By inhibiting kinesin motor machinery it produces collapse of micro tubular protrusion which help circulating tumour cells to attach to blood vessels in distant tissues.<sup>16</sup> There is increased activity of voltage gated sodium channels (VGSC) in many malignancies. The level of VGSC  $\alpha$  unit correlates highly with metastatic potential of tumours.<sup>17-19</sup> Cancer cells have high concentration of intracellular sodium and are usually more depolarized. The highly selective VGSC blocker tetrodotoxin (TTX) inhibits the metastatic behavior in breast, prostate and lung cancer cells.<sup>17-21</sup> Local anesthetics have a direct role in tumour progression by directly blocking these channels inhibiting VGSC dependent enhancement of cell endocytic membrane activity which affects metastasis.<sup>22, 23</sup>

#### **Limitations of the study:**

It is a retrospective analysis. So it identifies only a testable possibility. Prospective randomized control trials are needed to evaluate the outcome with different anesthesia techniques. The sample selection was not randomized. So there can be selection bias. Sample size was different in the two groups. Clinical care was not standardized as the cases were done by different anesthetists and different surgeons. The effects of unmeasured confounding variables could not be excluded. There can be inaccuracies in written records.

## **CONCLUSION**

Anesthetic management of cancer patients could influence long term outcome decreasing dissemination and metastasis during and after cancer surgery by its effect on surgical stress response. Retrospective studies on the effect of anesthetic techniques and agents show different outcomes either positive or no overall benefit. These studies were done in different tumour types under variable anesthetic regimes. Our study shows marked increase in disease free survival rate and overall survival rate in patients who underwent surgery under regional anesthesia when compared to those who had surgery under general anaesthesia. Immunosuppressive effect of general anesthesia might have contributed to increase in recurrence rate, metastasis rate and mortality in group G patients.

If a positive impact on patient survival can be produced by certain simple changes in the practice of anesthesia this should be recommended as a global protocol. Large scale prospective studies should be conducted for recommending appropriate use of anesthetic techniques and drugs during cancer surgeries for improved survival and quality of life.

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